Foresters Financial

ISA - Transfer Application Form

Please return your completed form using one of the options below:

1. Email a photo or scanned copy from the email address registered to your account (the one you use for MyPlans)
2. Upload a photo or scanned copy via MyPlans: Help - Raise a query - select 'Other - Document upload'
3. Post it to FREEPOST Foresters Financial, Foresters House, 2 Cromwell Avenue, Bromley BR2 9BF (no stamp required)

Please note: as part of our verification process, we may need to carry out additional checks.

Forester Life does not give advice on transfers. If you are in any doubt about this transfer you should seek full advice. The value of your Plan may fluctuate as the value of the investments in the fund can go down as well as up and you may get back less than has been invested. If you are transferring from a Cash ISA you should note that there will be risk to your capital.

If you are opening the Lifetime ISA rather than transferring into a Lifetime ISA you already have, you must be under the age of 40. If you withdraw any of your Lifetime ISA before the age of 60 and the withdrawal is not towards the purchase of your first home, it will be subject to a Government penalty of 25% of the withdrawal amount, which means you could get back less than you have invested.

If you save into the Lifetime ISA instead of enrolling in or contributing to a workplace pension, you may lose the benefit of employer contributions towards your pension. Any claim for current and future means tested benefits may be affected as unlike a pension fund, the value of a Lifetime ISA is not exempt from means testing.

1. Planholder's Details

Title and Forename(s)

Surname

Date of Birth D D M M Y Y Y Y

National Insurance (NI) Number

Nationality

Gender ☐ Male ☐ Female

Address (permanent residence)

Postcode

Do you have an existing ISA with Foresters? ☐ Yes ☐ No

If yes, please provide your Plan Number

Telephone number (in the event of queries)

Email address

Enjoy the convenience of going paperless!

You will be set up to receive documents by email, if you have a MyPlans account you can view them online.

I want to opt out of the eco-friendly email option and receive documents by post: ☐

Stay connected with tailored updates

We may contact you about our products and services. **Tick the boxes below to opt-in**, you can opt-out anytime.

☐ Email ☐ SMS (text)

Please turn over >

2. Transfer details (separate forms must be completed for each ISA you are transferring)

I apply to transfer my existing ISA - Account No.

From (existing ISA manager) to (new ISA manager) Forester Life

Address (company/bank)

Postcode

ISA type ☐ Cash ☐ Stocks and Shares

2a. Tax year holdings to be transferred

Please indicate below how you would like your ISA to be transferred to Forester Life. Please note that we only accept whole transfers of current tax year subscriptions and interest.

☐ I wish to transfer the full fund value of my ISA, including any current and previous tax years contributions.

☐ I wish to transfer 100% of my current tax year's ISA.

☐ I wish to transfer part of my previous tax year's ISA indicated in the amount/percentage here:

£ OR %

2b. ISA allocation

Please indicate below where you would like your transferred ISA to be invested:

Stocks and Shares ISA only ☐

Lifetime ISA only ☐

Invested in both ISAs ☐ I would like £ to be invested in the Lifetime ISA and for the remainder of the ISA to be invested into the Stock and Shares ISA.

3. Fund allocation

Please choose which fund(s) you would like to invest in, and the amount (%). If you would like to transfer into the Shariah ISA then 100% of the fund will be invested in the Foresters (Schroders) Managed Islamic Global Fund.

If you are transferring to both ISAs of our ISA please complete both section 3a & 3b.

You should read the relevant Key Information Document(s) and Brochure before selecting your choice of fund(s).

3a. Stocks and Shares ISA

Foresters Stakeholder (Schroders) Managed Fund %

Foresters Stakeholder (Schroders) Sustainable Future Managed Fund %

OR

Foresters (Schroders) Managed Islamic Global Fund ☐ 100%

3b. Lifetime ISA

Foresters Stakeholder (Schroders) Managed Fund %

Foresters Stakeholder (Schroders) Sustainable Future Managed Fund %

OR

Foresters (Schroders) Managed Islamic Global Fund ☐ 100%

4. Using your personal information

We will use the data you provide to administer your Plan. For more information on the data we collect, why we collect it, how we use it for processing purposes and how we store your information, please visit **foresters.com/UKprivacy**

5. Declaration and Authority

It is important that you read the correct declaration for transferring your ISA, please see below for the correct declaration.

If you are transferring from a Cash or a Stocks and Shares ISA into the Stocks and Shares ISA **please see section 5b**.

If you are transferring from a Cash or a Stocks and Shares ISA into the Lifetime ISA, or both ISAs and you do not already have a Forester Life ISA with a Lifetime ISA **please see section 5a and 5b**, and ensure you complete the tax year in which the Lifetime ISA is to be opened.

If you already have a Forester Life ISA with the Lifetime ISA and would like to transfer a Cash or Stocks and Shares ISA with another provider into the existing Lifetime ISA, or both ISAs then **please go to section 5b**.

5a. Declaration for opening the Lifetime ISA

I apply to open and to make payments for a Lifetime ISA for the tax year 20 | Y | Y | /20 | Y | Y | and each subsequent year in which I make a payment to the account.

I declare that:

- all cash payments made, and to be made, belong to me;
- I am under the age of 40;
- I have not subscribed/made payments and will not subscribe/make payments more than the overall subscription limit in total to any combination of permitted ISA types in the same tax year;
- I have not made current year payments, and will not make current year payments, that exceed the Lifetime ISA payment limit;
- I have not made current year payments, or will not make current year payments to another Lifetime ISA in the same tax year that I subscribe to this Lifetime ISA;
- I have not made any transfers from a Help to Buy ISA, and will not make any transfers from a Help to Buy ISA to another Lifetime ISA in the same tax year that I subscribe to this Lifetime ISA;
- this declaration shall have effect for each year in which I make a payment to the account; and
- (other than for accounts opened to receive investments from another Lifetime ISA, a defaulted Lifetime ISA subscription or a returned withdrawal after a failed first time residential purchase) I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Forester Life Limited if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

I authorise Forester Life Limited:

- to submit Lifetime ISA bonus claims to HMRC on my behalf;
- to withhold and deduct from a balance in the Lifetime ISA and to pay to HMRC any charges due on withdrawals; and
- to make a record in writing in accordance with Regulation 12B paragraph 9(a) of the Individual Savings Account Regulations where required to do so.
- I have not made current year payments, and will not make current year payments, that exceed the Lifetime ISA payment limit;

I am aware that:

- if I withdraw part or all of a Lifetime ISA before the age of 60 and such withdrawal is not towards the purchase of a first home, then I will incur a Lifetime ISA Government penalty, and such charge will be deducted from any withdrawal proceeds.

I am comfortable with the risks.

Please turn over >

5b. Signed Authority

I apply to transfer the ISA detailed in this application to Forester Life Limited.

I declare that:

- I am 18 years of age or over; and
- I have received the ISA Key Information Document(s) and brochure, which I have read

I authorise Forester Life Limited:

- to use my personal information for the purpose set out under 'Using your Personal Information' section;
- to hold my cash subscriptions, any other proceeds in respect of my ISA investments (if applicable, including any Lifetime ISA Government bonus) and any other cash; and
- to make on my behalf any claims to relief from tax in respect of ISA investments.

I authorise the ISA Manager named above to:

- provide Forester Life with any information which Forester Life may require in respect of the ISA(s) (including pre 1999 ISAs) managed by them on my behalf, including but not limited to, transaction and dividend histories and details of the current portfolio and its value; and
- liquidate the plans indicated above and transfer them to Forester Life in the form of CASH.

I am aware that as with any stock market investment, there is no guarantee that I will get back the money I invest.

I agree to the ISA Terms and Conditions. These may be provided by my Forester Life Financial Adviser or by contacting Customer Services and are included in my Plan Documents.

I confirm that I have not been provided with any financial advice from a Forester Life Financial Adviser in respect to the transfer.

I confirm that I have read the Declaration(s) and the information provided by me in making this application is correct to the best of my knowledge and belief.

Signature of applicant		Date	

6. Applicant Identification (for verifier use only)

I apply to transfer the ISA detailed in this application to Forester Life Limited. I confirm that to the best of my knowledge and belief all of my answers are true and complete.

Document type (most recent)		
Document details	Reference no.	Expiry date
Applicant's address identification details		

Verifier Confirmation

I confirm that:

- The information above was obtained by me in relation to the customer, and
- The evidence I have obtained to verify the identity of the customer meets the standard evidence within the Guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group.

Name		Position	
Signature of verifier		Date	